



PTO/SB/08A (10-01)

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USPTO Form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Sheet 1 of 3

Complete if Known

Application Number	10/648,759
Filing Date	August 25, 2003
First Named Inventor	Brodbeck et al.
Group Art Unit	Unknown
Examiner Name	Unknown
Attorney Docket Number	ARC 2882 NI (3139-6225.1US)

U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
/leb/		US-4,341,759	07/27/1982	Bogentoft et al.	
		US- 4,351,825	09/28/1982	Sothmann et al.	
		US- 4,443,340	04/17/1984	May et al.	
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		US- 5,019,400	05/28/1991	Gombotz et al.	
		US- 5,085,866	02/04/1992	Cowsar et al.	
		US- 5,162,057	11/10/1992	Akiyama et al.	
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		US- 5,654,010	08/05/1997	Johnson et al.	
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Examiner Initials *	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				
		WO 94/05257	03/17/1994	Hoeg et al.		
		WO 95/27481	10/19/1995	Yeway et al.		
		WO 96/08243	03/21/1996	Moeckel et al.		
		WO 97/16172	05/19/1997	Balchwal et al.		
		WO 98/26359	06/18/1998	Stedman et al.		
		WO 98/27863	07/02/1998	Brodbeck et al.		
		WO 98/43555	10/08/1998	Ottoboni et al.		
		WO 99/47128	09/23/1999	Timmins et al.		

abstract only

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¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449A/PTO			Complete if Known		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)			Application Number	10/648,759	
			Filing Date	August 25, 2003	
			First Named Inventor	Brodbeck et al.	
			Group Art Unit	Unknown	
			Examiner Name	Unknown	
Sheet	2	of	3	Attorney Docket Number	ARC 2882 N1 (3139-6225.1US)

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		Number - Kind Code ² (if known)			
/lebl/ ↓		US- 5,662,933	09/02/1997	Baichwal et al.	
		US- 5,674,534	10/07/1997	Zale et al.	
		US- 5,759,563	07/02/1998	Yewey et al.	
		US- 5,780,448	07/14/1998	Davis	
		US- 5,783,214	07/21/1998	Royer	
		US- 5,952,232	09/14/1999	Rothman	
		US- 6,025,337	02/15/2000	Truong et al.	
		US- 6,107,276	08/22/2000	Carli et al.	
		US- 6,130,200	10/10/2000	Brodbeck et al.	
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		US- 6,207,199 B1	03/27/2001	Allen et al.	
		US- 6,432,438 B1	08/13/2002	Shukla	

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Application Number	10/648,759
		Filing Date	August 25, 2003
		First Named Inventor	Brodbeck et al.
		Group Art Unit	Unknown
		Examiner Name	Unknown
Sheet 3 of 3	Attorney Docket Number	ARC 2882 N1 (3139-6225 11US)	

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
/leb/		FALK et al., J. of Controlled Release, 44, 77-85. 1997.	
↓		FOLDVARI, et al., "Clinical Observations with Topical Liposome-Encapsulated Interferon Alpha For The Treatment Of Genital Papillomavirus Infections," Journal of Liposome Research, 7(1), pgs. 155-1 26 (1977).	
		MESIHA, et al., "Hypoglycaemic effect of oral insulin preparations containing Brij 35, 52, 58 or 92 and stearic acid," J. Pharm. Pharmacol., 33, pgs. 733-734 (1981).	
		REMINGTON'S Pharmaceutical Sciences, Eighteenth Edition, pages 1647 1653 (1990), Mack Publishing Company, Easton, Pennsylvania.	
		WESTERBERG et al., International J. of Pharmaceutics, 69, 129-141. 1991.	
		International Search Report dated 01/06/2001 (4 pages)	
		duplicate references	

Examiner Signature	/Lora E Barnhart/	Date Considered	03/21/2007
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Sheet 1 of 2

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Application Number	10/648,759
Filing Date	August 25, 2003
First Named Inventor	Brodbeck et al.
Group Art Unit	1651
Examiner Name	L. Barnhart
Attorney Docket Number	3139-6225.1US (ARC2882N1)

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		Number - Kind Code ² (if known)			
/teb/		US-6,126,919	10/03/2000	Stefeley et al.	
		US- 6,438,441	08/20/2002	Sako et al.	
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		Country Code ³ - Number ² - Kind Code ⁵ (if known)				
V		CA 1209918 A1	08/19/1988	Bayer		
		WO 93/12765	07/08/1993	Merck & Co.		
		WO 99/17751	04/15/1999	Smithkline Beecham		
		WO 00/00222	01/08/2000	Amgen Inc.		

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Sheet

2

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2

Application Number

10/648,759

Filing Date

August 25, 2003

First Named Inventor

Brodbeck et al.

Group Art Unit

1651

Examiner Name

L. Barnhart

Attorney Docket Number

3139-6225 IIS (ARC2882N1)

[illegible]

**Examiner
Signature**

/Lora E Barnhart/

Date
Considered

03/21/2007 °

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